

**Governance Information (Authority-Related)**

| Question   | Response | URL (if applicable)   |
|--|----------|---|
| 1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL? | Yes      | <a href="http://www.wcldc.org/policies.html">http://www.wcldc.org/policies.html</a>   |
| 2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?               | Yes      | <a href="http://www.wcldc.org/policies.html">http://www.wcldc.org/policies.html</a>   |
| 3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?         | Yes      | N/A   |
| 4. Does the independent auditor provide non-audit services to the Authority?   | No       | N/A   |
| 5. Does the Authority have an organization chart?  | Yes      | <a href="http://www.wcldc.org/pdfs/Organizational_Chart.pdf">http://www.wcldc.org/pdfs/Organizational_Chart.pdf</a>                 |
| 6. Are any Authority staff also employed by another government agency?   | No       |   |
| 7. Has the Authority posted their mission statement to their website?  | Yes      | <a href="http://www.wcldc.org/pdfs/WCLDC_Mission_Statement_PAAA.pdf">http://www.wcldc.org/pdfs/WCLDC_Mission_Statement_PAAA.pdf</a> |
| 8. Has the Authority's mission statement been revised and adopted during the reporting period?   | No       | N/A   |
| 9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.  |          | <a href="http://www.wcldc.org/policies.html">http://www.wcldc.org/policies.html</a>   |

**Governance Information (Board-Related)**

| Question  | Response | URL   |
|---|----------|---|
| 1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?  | Yes      | N/A   |
| 2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?  | Yes      | N/A   |
| 3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?   | Yes      | N/A   |
| 4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):                 |          | <a href="http://www.wcldc.org/policies.html">http://www.wcldc.org/policies.html</a>                                       |
| 5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?   | Yes      | N/A   |
| 6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year  |          | <a href="http://www.wcldc.org/news/category/meetings/">http://www.wcldc.org/news/category/meetings/</a>                   |
| 7. Has the Board adopted bylaws and made them available to Board members and staff?   | Yes      | <a href="http://www.wcldc.org/pdfs/By-Laws.pdf">http://www.wcldc.org/pdfs/By-Laws.pdf</a>                                 |
| 8. Has the Board adopted a code of ethics for Board members and staff?  | Yes      | <a href="http://www.wcldc.org/docs/paaa/CODE%20OF%20ETHICS.pdf">http://www.wcldc.org/docs/paaa/CODE%20OF%20ETHICS.pdf</a> |
| 9. Does the Board review and monitor the Authority's implementation of financial and management controls?   | Yes      | N/A   |
| 10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?                                    | Yes      | N/A   |
| 11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?   |          |   |
| Salary and Compensation   | Yes      | N/A   |
| Time and Attendance   | Yes      | N/A   |
| Whistleblower Protection  | Yes      | N/A   |
| Defense and Indemnification of Board Members  | Yes      | N/A   |
| 12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?        | Yes      | N/A   |
| 13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL? | Yes      | N/A   |
| 14. Was a performance evaluation of the board completed?  | No       | N/A   |
| 15. Was compensation paid by the Authority made in accordance with employee or union contracts?   | Yes      | N/A   |
| 16. Has the board adopted a conditional/additional compensation policy governing all employees?   | Yes      | <a href="http://www.wcldc.org/pdfs/Compensation_Policy.pdf">http://www.wcldc.org/pdfs/Compensation_Policy.pdf</a>         |
|   |          |   |

**Board of Directors Listing**

|   |                   |   |                 |
|---|-------------------|---|-----------------|
| Name  | Armstrong, George | Name  | Hicks, Matt     |
| Chair of Board  | No                | Chair of Board  | No              |
| If yes, Chair designated By.  |                   | If yes, Chair designated By.  |                 |
| Term Start Date   | 01/01/2014        | Term Start Date   | 01/01/2014      |
| Term Expiration Date  | Ex-Officio        | Term Expiration Date  | Ex-Officio      |
| Title   | Town Supervisor   | Title   | Town Supervisor |
| Has the Board member appointed a designee?  | Yes               | Has the Board member appointed a designee?  | Yes             |
| Designee Name   | Stephanie Safka   | Designee Name   | Jay Niles       |
| Ex-officio  | Yes               | Ex-officio  | Yes             |
| Nominated By  | Ex-Officio        | Nominated By  | Ex-Officio      |
| Appointed By  | Ex-Officio        | Appointed By  | Ex-Officio      |
| Confirmed by Senate?  |                   | Confirmed by Senate?  |                 |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes               | Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             |
| Complied with training requirement of Section 2824?   | No                | Complied with training requirement of Section 2824?   | Yes             |
| Does the Board member/designee also hold an elected or appointed State gove                     | No                | Does the Board member/designee also hold an elected or appointed State gove                     | No              |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes               | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             |

**Board of Directors Listing**

|   |                     |   |                 |
|---|---------------------|---|-----------------|
| Name  | Supernant, Mitchell | Name  | Campbell, Brian |
| Chair of Board  | No                  | Chair of Board  | No              |
| If yes, Chair designated By.  |                     | If yes, Chair designated By.  |                 |
| Term Start Date   | 01/01/2014          | Term Start Date   | 01/01/2014      |
| Term Expiration Date  | Ex-Officio          | Term Expiration Date  | Ex-Officio      |
| Title   | Town Supervisor     | Title   | Town Supervisor |
| Has the Board member appointed a designee?  | Yes                 | Has the Board member appointed a designee?  | Yes             |
| Designee Name   | Terry Middleton     | Designee Name   | Barry Miller    |
| Ex-officio  | Yes                 | Ex-officio  | Yes             |
| Nominated By  | Ex-Officio          | Nominated By  | Ex-Officio      |
| Appointed By  | Ex-Officio          | Appointed By  | Ex-Officio      |
| Confirmed by Senate?  |                     | Confirmed by Senate?  |                 |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes                 | Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             |
| Complied with training requirement of Section 2824?   | No                  | Complied with training requirement of Section 2824?   | Yes             |
| Does the Board member/designee also hold an elected or appointed State gove                     | No                  | Does the Board member/designee also hold an elected or appointed State gove                     | No              |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes                 | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             |

**Board of Directors Listing**

|   |                 |   |                 |
|---|-----------------|---|-----------------|
| Name  | Idleman, Sara   | Name  | Shaw, Dan       |
| Chair of Board  | No              | Chair of Board  | No              |
| If yes, Chair designated By.  |                 | If yes, Chair designated By.  |                 |
| Term Start Date   | 01/01/2014      | Term Start Date   | 01/01/2014      |
| Term Expiration Date  | Ex-Officio      | Term Expiration Date  | Ex-Officio      |
| Title   | Town Supervisor | Title   | Town Supervisor |
| Has the Board member appointed a designee?  | Yes             | Has the Board member appointed a designee?  | Yes             |
| Designee Name   | Richard Norman  | Designee Name   | Chic Wilson     |
| Ex-officio  | Yes             | Ex-officio  | Yes             |
| Nominated By  | Ex-Officio      | Nominated By  | Ex-Officio      |
| Appointed By  | Ex-Officio      | Appointed By  | Ex-Officio      |
| Confirmed by Senate?  |                 | Confirmed by Senate?  |                 |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             | Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             |
| Complied with training requirement of Section 2824?   | No              | Complied with training requirement of Section 2824?   | No              |
| Does the Board member/designee also hold an elected or appointed State gove                     | No              | Does the Board member/designee also hold an elected or appointed State gove                     | No              |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             |

**Board of Directors Listing**

|   |                 |   |                 |
|---|-----------------|---|-----------------|
| Name  | Henke, Robert   | Name  | Dumas, Darlene  |
| Chair of Board  | No              | Chair of Board  | No              |
| If yes, Chair designated By.  |                 | If yes, Chair designated By.  |                 |
| Term Start Date   | 01/01/2014      | Term Start Date   | 01/01/2014      |
| Term Expiration Date  | Ex-Officio      | Term Expiration Date  | Ex-Officio      |
| Title   | Town Supervisor | Title   | Town Supervisor |
| Has the Board member appointed a designee?  | Yes             | Has the Board member appointed a designee?  | No              |
| Designee Name   | Jared Humiston  | Designee Name   |                 |
| Ex-officio  | Yes             | Ex-officio  | Yes             |
| Nominated By  | Ex-Officio      | Nominated By  | Ex-Officio      |
| Appointed By  | Ex-Officio      | Appointed By  | Ex-Officio      |
| Confirmed by Senate?  |                 | Confirmed by Senate?  |                 |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             | Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             |
| Complied with training requirement of Section 2824?   | No              | Complied with training requirement of Section 2824?   | No              |
| Does the Board member/designee also hold an elected or appointed State gove                     | No              | Does the Board member/designee also hold an elected or appointed State gove                     | No              |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             |

**Board of Directors Listing**

|   |                 |   |                   |
|---|-----------------|---|-------------------|
| Name  | Brown, Alan     | Name  | Fedler, Catherine |
| Chair of Board  | No              | Chair of Board  | No                |
| If yes, Chair designated By.  |                 | If yes, Chair designated By.  |                   |
| Term Start Date   | 01/01/2014      | Term Start Date   | 01/01/2014        |
| Term Expiration Date  | Ex-Officio      | Term Expiration Date  | Ex-Officio        |
| Title   | Town Supervisor | Title   | Town Supervisor   |
| Has the Board member appointed a designee?  | No              | Has the Board member appointed a designee?  | No                |
| Designee Name   |                 | Designee Name   |                   |
| Ex-officio  | Yes             | Ex-officio  | Yes               |
| Nominated By  | Ex-Officio      | Nominated By  | Ex-Officio        |
| Appointed By  | Ex-Officio      | Appointed By  | Ex-Officio        |
| Confirmed by Senate?  |                 | Confirmed by Senate?  |                   |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             | Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes               |
| Complied with training requirement of Section 2824?   | No              | Complied with training requirement of Section 2824?   | No                |
| Does the Board member/designee also hold an elected or appointed State gove                     | No              | Does the Board member/designee also hold an elected or appointed State gove                     | No                |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes               |

**Board of Directors Listing**

|   |                 |   |                 |
|---|-----------------|---|-----------------|
| Name  | Gang, George    | Name  | O'Brien, David  |
| Chair of Board  | No              | Chair of Board  | No              |
| If yes, Chair designated By.  |                 | If yes, Chair designated By.  |                 |
| Term Start Date   | 01/01/2014      | Term Start Date   | 01/01/2014      |
| Term Expiration Date  | Ex-Officio      | Term Expiration Date  | Ex-Officio      |
| Title   | Town Supervisor | Title   | Town Supervisor |
| Has the Board member appointed a designee?  | No              | Has the Board member appointed a designee?  | No              |
| Designee Name   |                 | Designee Name   |                 |
| Ex-officio  | Yes             | Ex-officio  | Yes             |
| Nominated By  | Ex-Officio      | Nominated By  | Ex-Officio      |
| Appointed By  | Ex-Officio      | Appointed By  | Ex-Officio      |
| Confirmed by Senate?  |                 | Confirmed by Senate?  |                 |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             | Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             |
| Complied with training requirement of Section 2824?   | No              | Complied with training requirement of Section 2824?   | Yes             |
| Does the Board member/designee also hold an elected or appointed State gove                     | No              | Does the Board member/designee also hold an elected or appointed State gove                     | No              |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             |



**Board of Directors Listing**

|   |                 |   |                 |
|---|-----------------|---|-----------------|
| Name  | Haff, Dana      | Name  | Shay, Robert    |
| Chair of Board  | No              | Chair of Board  | No              |
| If yes, Chair designated By.  |                 | If yes, Chair designated By.  |                 |
| Term Start Date   | 01/01/2014      | Term Start Date   | 01/01/2014      |
| Term Expiration Date  | Ex-Officio      | Term Expiration Date  | Ex-Officio      |
| Title   | Town Supervisor | Title   | Town Supervisor |
| Has the Board member appointed a designee?  | No              | Has the Board member appointed a designee?  | No              |
| Designee Name   |                 | Designee Name   |                 |
| Ex-officio  | Yes             | Ex-officio  | Yes             |
| Nominated By  | Ex-Officio      | Nominated By  | Ex-Officio      |
| Appointed By  | Ex-Officio      | Appointed By  | Ex-Officio      |
| Confirmed by Senate?  |                 | Confirmed by Senate?  |                 |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             | Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             |
| Complied with training requirement of Section 2824?   | Yes             | Complied with training requirement of Section 2824?   | Yes             |
| Does the Board member/designee also hold an elected or appointed State gove                     | No              | Does the Board member/designee also hold an elected or appointed State gove                     | No              |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             |

**Board of Directors Listing**

|   |                 |   |                  |
|---|-----------------|---|------------------|
| Name  | Lindsay, James  | Name  | Pitts, Seth      |
| Chair of Board  | No              | Chair of Board  | Yes              |
| If yes, Chair designated By.  |                 | If yes, Chair designated By.  | Elected by Board |
| Term Start Date   | 01/01/2014      | Term Start Date   | 01/01/2014       |
| Term Expiration Date  | Ex-Officio      | Term Expiration Date  | Ex-Officio       |
| Title   | Town Supervisor | Title   | Town Supervisor  |
| Has the Board member appointed a designee?  | No              | Has the Board member appointed a designee?  | No               |
| Designee Name   |                 | Designee Name   |                  |
| Ex-officio  | Yes             | Ex-officio  | Yes              |
| Nominated By  | Ex-Officio      | Nominated By  | Ex-Officio       |
| Appointed By  | Ex-Officio      | Appointed By  | Ex-Officio       |
| Confirmed by Senate?  |                 | Confirmed by Senate?  |                  |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             | Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes              |
| Complied with training requirement of Section 2824?   | No              | Complied with training requirement of Section 2824?   | Yes              |
| Does the Board member/designee also hold an elected or appointed State gove                     | No              | Does the Board member/designee also hold an elected or appointed State gove                     | No               |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             | Does the Board member/designee also hold an elected or appointed municipal government position? | Yes              |

**Board of Directors Listing**

|   |                 |
|---|-----------------|
| Name  | LaPointe, John  |
| Chair of Board  | No              |
| If yes, Chair designated By.  |                 |
| Term Start Date   | 01/01/2014      |
| Term Expiration Date  | Ex-Officio      |
| Title   | Town Supervisor |
| Has the Board member appointed a designee?  | No              |
| Designee Name   |                 |
| Ex-officio  | Yes             |
| Nominated By  | Ex-Officio      |
| Appointed By  | Ex-Officio      |
| Confirmed by Senate?  |                 |
| Has the Board member/designee signed the acknowledgement of fiduciary duty?                     | Yes             |
| Complied with training requirement of Section 2824?   | No              |
| Does the Board member/designee also hold an elected or appointed State gove                     | No              |
| Does the Board member/designee also hold an elected or appointed municipal government position? | Yes             |

**Staff Listing**

| Name             | Title     | Group     | Department / Subsidiary | Union Name | Bargaining Unit | Full Time/ Part Time | Exempt | Base Annualized Salary | Actual salary paid to the Individual | Over time paid by Authority | Performance Bonus | Extra Pay | Other Compensation/Allowances/Adjustments | Total Compensation | Individual also paid by another entity to perform the work of the Authority | If yes, Is the payment made by a State or local government |
|------------------|-----------|-----------|-------------------------|------------|-----------------|----------------------|--------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|--|
| Derway, Deanna L | President | Executive |                         |            |                 | FT                   | Yes    | 45,900.00              | 44,677                               | 0                           | 0                 | 0         | 0   | 44,677             | No  |  |

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

| Name                | Title              | Severance Package | Payment for Unused Leave | Club Member-ships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of These Benefits | Other |
|---------------------|--------------------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Lindsay, James      | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Henke, Robert       | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Supernant, Mitchell | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Campbell, Brian     | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| O'Brien, David      | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Haff, Dana          | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Idleman, Sara       | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Armstrong, George   | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Shaw, Dan           | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Safka, Stephanie    | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Niles, Jay          | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Middleton, Terry    | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Miller, Barry       | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Norman, Richard     | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Wilson, Chic        | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Fedler, Catherine   | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Shay, Robert        | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |
| Pitts, Seth         | Board of Directors |                   |                          |                   |                               |                |      |                |                   |                                    |                    |                       | X                      |       |

| Name            | Title              | Severance Package | Payment for Unused Leave | Club Member-ships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allow-ance | Spousal / Dependent Life Insurance | Tuition Assist-ance | Multi-Year Employ-ment | None of These Benefits | Other |
|-----------------|--------------------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|--------------------|------------------------------------|---------------------|------------------------|------------------------|-------|
| Brown, Alan     | Board of Directors |                   |                          |                   |                               |                |      |                |                    |                                    |                     |                        | X                      |       |
| Gang, George    | Board of Directors |                   |                          |                   |                               |                |      |                |                    |                                    |                     |                        | X                      |       |
| Dumas, Darlene  | Board of Directors |                   |                          |                   |                               |                |      |                |                    |                                    |                     |                        | X                      |       |
| Hicks, Matt     | Board of Directors |                   |                          |                   |                               |                |      |                |                    |                                    |                     |                        | X                      |       |
| LaPointe, John  | Board of Directors |                   |                          |                   |                               |                |      |                |                    |                                    |                     |                        | X                      |       |
| Humiston, Jared | Board of Directors |                   |                          |                   |                               |                |      |                |                    |                                    |                     |                        | X                      |       |

**Staff**

| Name  | Title | Severance Package | Payment for Unused Leave | Club Member-ships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allow-ance | Spousal / Dependent Life Insurance | Tuition Assist-ance | Multi-Year Employ-ment | None of These Benefits | Other |
|---|-------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|--------------------|------------------------------------|---------------------|------------------------|------------------------|-------|
| No Data has been entered by the Authority for this section in PARIS |       |                   |                          |                   |                               |                |      |                |                    |                                    |                     |                        |                        |       |

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

| Name of Subsidiary/Component Unit | Status | Requested Changes |
|-----------------------------------|--------|-------------------|
|-----------------------------------|--------|-------------------|

**Subsidiary/Component Unit Creation**

| Name of Subsidiary/Component Unit | Establishment Date | Entity Purpose |
|-----------------------------------|--------------------|----------------|
|-----------------------------------|--------------------|----------------|

**Subsidiary/Component Unit Termination**

| Name of Subsidiary/Component Unit | Termination Date | Termination Reason | Proof of Termination |
|-----------------------------------|------------------|--------------------|----------------------|
|-----------------------------------|------------------|--------------------|----------------------|

No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

| <u>Assets</u>                          |                    |
|--|--------------------|
| <b>Current Assets</b>                  |                    |
| Cash and cash equivalents              | \$1,157,888        |
| Investments                            | \$701,316          |
| Receivables, net                       | \$550,221          |
| Other assets                           | \$0                |
| <b>Total Current Assets</b>            | <b>\$2,409,425</b> |
| <b>Noncurrent Assets</b>               |                    |
| Restricted cash and investments        | \$0                |
| Long-term receivables, net             | \$1,843,691        |
| Other assets                           | \$0                |
| <b>Capital Assets</b>                  |                    |
| Land and other nondepreciable property | \$0                |
| Buildings and equipment                | \$0                |
| Infrastructure                         | \$0                |
| Accumulated depreciation               | \$0                |
| Net Capital Assets                     | \$0                |
| <b>Total Noncurrent Assets</b>         | <b>\$1,843,691</b> |
| <b>Total Assets</b>                    | <b>\$4,253,116</b> |



Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

|   |                 |
|---|-----------------|
| Accounts payable                                | \$92            |
| Pension contribution payable                    | \$0             |
| Other post-employment benefits                  | \$0             |
| Accrued liabilities                             | \$0             |
| Deferred revenues                               | \$0             |
| Bonds and notes payable                         | \$10,735        |
| Other long-term obligations due within one year | \$0             |
| <b>Total Current Liabilities</b>                | <b>\$10,827</b> |

**Noncurrent Liabilities**

|                                     |                  |
|-------------------------------------|------------------|
| Pension contribution payable        | \$0              |
| Other post-employment benefits      | \$0              |
| Bonds and notes payable             | \$149,355        |
| Long Term Leases                    | \$0              |
| Other long-term obligations         | \$0              |
| <b>Total Noncurrent Liabilities</b> | <b>\$149,355</b> |

**Total Liabilities**

**\$160,182**

Net Asset (Deficit)

**Net Asset**

|   |                    |
|---|--------------------|
| Invested in capital assets, net of related debt | \$0                |
| Restricted                                      | \$371,683          |
| Unrestricted                                    | \$3,721,251        |
| <b>Total Net Assets</b>                         | <b>\$4,092,934</b> |

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Operating Revenues

|                                |                  |
|--------------------------------|------------------|
| Charges for services           | \$7,989          |
| Rental & financing income      | \$127,149        |
| Other operating revenues       | \$10,295         |
| <b>Total Operating Revenue</b> | <b>\$145,433</b> |

Operating Expenses

|                                 |                  |
|---------------------------------|------------------|
| Salaries and wages              | \$46,104         |
| Other employee benefits         | \$15,254         |
| Professional services contracts | \$28,233         |
| Supplies and materials          | \$2,145          |
| Depreciation & amortization     | \$9,215          |
| Other operating expenses        | \$2,510          |
| <b>Total Operating Expenses</b> | <b>\$103,461</b> |

Operating Income (Loss) **\$41,972**

Nonoperating Revenues

|                                   |                 |
|-----------------------------------|-----------------|
| Investment earnings               | \$1,742         |
| State subsidies/grants            | \$0             |
| Federal subsidies/grants          | \$0             |
| Municipal subsidies/grants        | \$80,000        |
| Public authority subsidies        | \$0             |
| Other nonoperating revenues       | \$0             |
| <b>Total Nonoperating Revenue</b> | <b>\$81,742</b> |

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

|  |                    |
|--|--------------------|
| Interest and other financing charges       | \$1,768            |
| Subsidies to other public authorities      | \$0                |
| Grants and donations                       | \$0                |
| Other nonoperating expenses                | \$738              |
| <b>Total Nonoperating Expenses</b>         | <b>\$2,506</b>     |
| <b>Income (Loss) Before Contributions</b>  | <b>\$121,208</b>   |
| Capital Contributions                      | \$0                |
| Change in net assets                       | \$121,208          |
| Net assets (deficit) beginning of year     | \$3,971,726        |
| Other net assets changes                   | \$0                |
| <b>Net assets (deficit) at end of year</b> | <b>\$4,092,934</b> |

**Current Debt**

| Question  | Response |
|---|----------|
| 1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period? | No       |
| 2. If yes, has the Authority issued any debt during the reporting period?   |          |

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

| Type of Debt                             | Statutory Authorization (\$) | Outstanding Start of Fiscal Year (\$) | New Debt Issuances (\$) | Debt Retired (\$) | Outstanding End of Fiscal Year (\$) |
|--|------------------------------|---------------------------------------|-------------------------|-------------------|-------------------------------------|
| <b>State Obligation</b>                  |                              |                                       |                         |                   |                                     |
| State Guaranteed                         |                              |                                       |                         |                   |                                     |
| State Supported                          |                              |                                       |                         |                   |                                     |
| State Contingent Obligation              |                              |                                       |                         |                   |                                     |
| State Moral Obligation                   |                              |                                       |                         |                   |                                     |
| Other State Funded                       |                              |                                       |                         |                   |                                     |
| <b>Authority Obligation</b>              |                              |                                       |                         |                   |                                     |
| General Obligation                       |                              |                                       |                         |                   |                                     |
| Revenue                                  |                              |                                       |                         |                   |                                     |
| Other Non-State Funded                   |                              |                                       |                         |                   |                                     |
| <b>Conduit</b>                           |                              |                                       |                         |                   |                                     |
| Conduit Debt                             |                              |                                       |                         |                   |                                     |
| Conduit Debt - Pilot Increment Financing |                              |                                       |                         |                   |                                     |

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

| Question   | Response | URL (if applicable)   |
|--|----------|---|
| 1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?          | No       |   |
| 2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?          | Yes      | <a href="http://www.wcldc.org/policies.html">http://www.wcldc.org/policies.html</a> |
| 3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines? | Yes      |   |



Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

**Loan Information**

1. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Adirondack Plastics and Recycling, Inc.  
 Address Line1: 453 County Route 45  
 Address Line2:  
 City: ARGYLE  
 State: NY  
 Zip - Plus4: 12809  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$150,000  
 Date Loan Awarded: 05/28/2009  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 6  
 Amount of Loan Principal Repaid  
 to Date: \$133,307.04  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

2. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Argyle Cheese Factory  
 Address Line1: 990 Coach Rd.  
 Address Line2:  
 City: ARGYLE  
 State: NY  
 Zip - Plus4: 12809  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$100,000  
 Date Loan Awarded: 10/26/2012  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$22,580.18  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 5  
 If yes, how many jobs have been  
 created to date? 7  
 Have the terms of the loan been  
 completed? No

Loan Information

3. Source of Loan Funds: Not for Profit  
Name of Loan Recipient: Argyle Cheese Factory LLC  
Address Line1: 990 Coach Road  
Address Line2:  
City: ARGYLE  
State: NY  
Zip - Plus4: 12809  
Province/Region:  
Country: USA  
Original Amount of Loan: \$30,000  
Date Loan Awarded: 11/29/2011  
Interest Rate(%): 5.5  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$18,104.50  
Purpose of Loan: Commercial Property  
Construction/Acquisition/Revitalization/I  
mprovement  
Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

4. Source of Loan Funds: Not for Profit  
Name of Loan Recipient: Battenkill Valley Fibers  
Address Line1: 2532 State Route 40  
Address Line2:  
City: GREENWICH  
State: NY  
Zip - Plus4: 12834  
Province/Region:  
Country: USA  
Original Amount of Loan: \$180,000  
Date Loan Awarded: 08/05/2010  
Interest Rate(%): 5  
Length of Loan(# of years  
to repay): 7  
Amount of Loan Principal Repaid  
to Date: \$48,222.68  
Purpose of Loan: Business Expansion/Startup  
Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

Loan Information

5. Source of Loan Funds: Not for Profit  
Name of Loan Recipient: Binley Real Estate Holdings, LLC  
Address Line1: 99 ½ East St.  
Address Line2:  
City: FORT EDWARD  
State: NY  
Zip - Plus4: 12828  
Province/Region:  
Country: USA  
Original Amount of Loan: \$150,000  
Date Loan Awarded: 11/11/2009  
Interest Rate(%): 4  
Length of Loan(# of years  
to repay): 15  
Amount of Loan Principal Repaid  
to Date: \$41,018.89  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

6. Source of Loan Funds: Not for Profit  
Name of Loan Recipient: CV Land Holdings, LLC  
Address Line1: 2098 State Route 149 East  
Address Line2:  
City: FORT ANN  
State: NY  
Zip - Plus4: 12827  
Province/Region:  
Country: USA  
Original Amount of Loan: \$20,000  
Date Loan Awarded: 11/18/2010  
Interest Rate(%): 5.5  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$15,906.75  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

**Loan Information**

7. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Camden Valley Flower Farm  
 Address Line1: 1 Hickory Hill Rd.  
 Address Line2:  
 City: SHUSHAN  
 State: NY  
 Zip - Plus4: 12873  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$8,149  
 Date Loan Awarded: 04/01/2010  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$8,149.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? Yes

8. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Can Industries, Inc.  
 Address Line1: 60 Engineers Lane  
 Address Line2:  
 City: FARMINGDALE  
 State: NY  
 Zip - Plus4: 11735  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$150,000  
 Date Loan Awarded: 12/01/2006  
 Interest Rate(%): 5.19  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$69,634.99  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

9. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Center Road Enterprises, LLC  
 Address Line1: 139 Center Road  
 Address Line2:  
 City: EAGLE BRIDGE  
 State: NY  
 Zip - Plus4: 12057  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$100,000  
 Date Loan Awarded: 12/03/2009  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$21,781.34  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

10. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Center Road Enterprises, LLC DBA Eagle Bri  
 Address Line1: 139 Center Road  
 Address Line2:  
 City: EAGLE BRIDGE  
 State: NY  
 Zip - Plus4: 12057  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$140,000  
 Date Loan Awarded: 10/27/2011  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$20,671.06  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

11. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: David Wetherell  
 Address Line1: 81 Stoddard Rd.  
 Address Line2:  
 City: HAMPTON  
 State: NY  
 Zip - Plus4: 12837  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$25,000  
 Date Loan Awarded: 11/14/1995  
 Interest Rate(%): 7.25  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$21,738.43  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

12. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Dupuis Landscape  
 Address Line1: 242 County Route 52  
 Address Line2:  
 City: GREENWICH  
 State: NY  
 Zip - Plus4: 12834  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$85,000  
 Date Loan Awarded: 04/08/2010  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$49,897.23  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

13. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Eastern Castings Company  
 Address Line1: PO Box 128  
 Address Line2:  
 City: CAMBRIDGE  
 State: NY  
 Zip - Plus4: 12816  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$350,000  
 Date Loan Awarded: 06/30/2003  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 12  
 Amount of Loan Principal Repaid  
 to Date: \$111,367.96  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

14. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Edward Y. and Lisa A. Havens  
 Address Line1: 273 County Route 36  
 Address Line2:  
 City: HUDSON FALLS  
 State: NY  
 Zip - Plus4: 12839  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$35,000  
 Date Loan Awarded: 08/20/2004  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$23,648.69  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No



Loan Information

15. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Edwards Market, LLC  
 Address Line1: 11 West Main Street, Suite 2  
 Address Line2:  
 City: GRANVILLE  
 State: NY  
 Zip - Plus4: 12832  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$37,500  
 Date Loan Awarded: 12/20/2013  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$3,340.63  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 7  
 If yes, how many jobs have been  
 created to date? 4  
 Have the terms of the loan been  
 completed? No

16. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Esther Davis dba/Abuelos Cafe  
 Address Line1: 368 Broadway  
 Address Line2:  
 City: FORT EDWARD  
 State: NY  
 Zip - Plus4: 12828  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$10,000  
 Date Loan Awarded: 08/23/2013  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$284.51  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 5  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

Loan Information

17. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Green Thumb Nursery & Country Store, Inc.  
 Address Line1: 393 Vaughn Road  
 Address Line2:  
 City: HUDSON FALLS  
 State: NY  
 Zip - Plus4: 12839  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$65,000  
 Date Loan Awarded: 07/02/2009  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$32,487.37  
 Purpose of Loan: Business Expansion/Startup

18. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: J. Donnelly Transport LLC  
 Address Line1: 171 Vaughn Rd.  
 Address Line2:  
 City: HUDSON FALLS  
 State: NY  
 Zip - Plus4: 12839  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$45,000  
 Date Loan Awarded: 02/10/2014  
 Interest Rate(%): 6.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$2,628.43  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

**Loan Information**

19. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: J.C.'s Corner Deli And Market Inc.  
 Address Line1: 1110 Dix Avenue  
 Address Line2:  
 City: HUDSON FALLS  
 State: NY  
 Zip - Plus4: 12839  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$145,000  
 Date Loan Awarded: 08/03/2011  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$23,052.53  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

20. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: James Quinlan d/b/a Dinner and Flowers.cor  
 Address Line1: 17 County Route 41  
 Address Line2:  
 City: HUDSON FALLS  
 State: NY  
 Zip - Plus4: 12839  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$15,000  
 Date Loan Awarded: 03/18/2014  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$12,218.38  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

Loan Information

21. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Manchester Wood, Inc.  
 Address Line1: 1159 County Route 24  
 Address Line2:  
 City: GRANVILLE  
 State: NY  
 Zip - Plus4: 12832  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$145,000  
 Date Loan Awarded: 09/21/2011  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$60,960.18  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

22. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Maxwell's Main Street Pub, LLC  
 Address Line1: 198 Main St.  
 Address Line2:  
 City: HUDSON FALLS  
 State: NY  
 Zip - Plus4: 12839  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$40,000  
 Date Loan Awarded: 05/06/2014  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$391.47  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

Loan Information

23. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: North-East Machine, Inc.  
 Address Line1: 4160 State Route 4  
 Address Line2:  
 City: FORT ANN  
 State: NY  
 Zip - Plus4: 12827  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$40,000  
 Date Loan Awarded: 11/27/2012  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$3,798.76  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

24. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: One Park Properties  
 Address Line1: 100 Glen Street  
 Address Line2: Suite 3A  
 City: GLENS FALLS  
 State: NY  
 Zip - Plus4: 12801  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$120,000  
 Date Loan Awarded: 04/08/2010  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 1  
 Amount of Loan Principal Repaid  
 to Date: \$15.75  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

25. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Pallets, Inc.  
 Address Line1: 99 1/2 East Street  
 Address Line2:  
 City: FORT EDWARD  
 State: NY  
 Zip - Plus4: 12828  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$150,000  
 Date Loan Awarded: 03/18/2013  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$27,000.75  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

26. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Praxis Powder Technology, Inc.  
 Address Line1: 820 Quaker Road  
 Address Line2:  
 City: QUEENSBURY  
 State: NY  
 Zip - Plus4: 12804  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$390,000  
 Date Loan Awarded: 12/31/2006  
 Interest Rate(%): 5.19  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$169,166.32  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

27. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Puckers Gourmet  
 Address Line1: 39 Route 4  
 Address Line2:  
 City: GREENWICH  
 State: NY  
 Zip - Plus4: 12834  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$25,000  
 Date Loan Awarded: 08/19/2010  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$15,453.27  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

28. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Queensbury 400 Properties, Inc.  
 Address Line1: 30 Casey Road  
 Address Line2:  
 City: QUEENSBURY  
 State: NY  
 Zip - Plus4: 12804  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$210,000  
 Date Loan Awarded: 11/03/2009  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$57,402.64  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

29. Source of Loan Funds: Not for Profit  
Name of Loan Recipient: Renaissance Grants and Planning LLC  
Address Line1: 188 Broadway  
Address Line2:  
City: FORT EDWARD  
State: NY  
Zip - Plus4: 12828  
Province/Region:  
Country: USA  
Original Amount of Loan: \$145,000  
Date Loan Awarded: 08/04/2011  
Interest Rate(%): 6  
Length of Loan(# of years  
to repay): 10  
Amount of Loan Principal Repaid  
to Date: \$23,635.69  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

30. Source of Loan Funds: Not for Profit  
Name of Loan Recipient: Richard Van Lint dba Express Computer Rest  
Address Line1: 10 LaCrosse St.  
Address Line2:  
City: HUDSON FALLS  
State: NY  
Zip - Plus4: 12839  
Province/Region:  
Country: USA  
Original Amount of Loan: \$20,000  
Date Loan Awarded: 09/10/2009  
Interest Rate(%): 5  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$18,867.53  
Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No



Loan Information

31. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Roundhouse Bakery, LLC  
 Address Line1: 494 Chestnut Hill Rd.  
 Address Line2:  
 City: CAMBRIDGE  
 State: NY  
 Zip - Plus4: 12816  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 02/21/2014  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$1,958.85  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 2  
 Have the terms of the loan been  
 completed? No

32. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Schoony Rental Properties LLC and Schoony'  
 Address Line1: 10254 State Route 22  
 Address Line2:  
 City: NORTH GRANVILLE  
 State: NY  
 Zip - Plus4: 12854  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$12,950  
 Date Loan Awarded: 12/20/2013  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$1,887.11  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

33. Source of Loan Funds: Not for Profit 34.  
 Name of Loan Recipient: Schoony Rental Properties LLC and Schoony  
 Address Line1: 10254 State Route 22  
 Address Line2:  
 City: NORTH GRANVILLE  
 State: NY  
 Zip - Plus4: 12854  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$97,000  
 Date Loan Awarded: 06/21/2011  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$42,083.98  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Scott A. Ray & Stacey A. Ray  
 Address Line1: 114 Main St.  
 Address Line2:  
 City: WHITEHALL  
 State: NY  
 Zip - Plus4: 12887  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$19,539  
 Date Loan Awarded: 12/31/2009  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$13,759.61  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

35. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Shed Stop, Inc.  
 Address Line1: 6854 State Route 4  
 Address Line2:  
 City: FORT ANN  
 State: NY  
 Zip - Plus4: 12827  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$75,000  
 Date Loan Awarded: 03/27/2014  
 Interest Rate(%): 5.5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$2,201.83  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

36. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Skene Valley Agency, Inc.  
 Address Line1: 76 County Route 18  
 Address Line2:  
 City: WHITEHALL  
 State: NY  
 Zip - Plus4: 12887  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$125,000  
 Date Loan Awarded: 10/05/2009  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$34,120.35  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

37. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: South Hartford Equipment Co., Inc.  
 Address Line1: 117 North Rd.  
 Address Line2:  
 City: FORT ANN  
 State: NY  
 Zip - Plus4: 12827  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$60,000  
 Date Loan Awarded: 08/24/2009  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$43,152.49  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

38. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Thomas Bushey dba ProTube Extrusion  
 Address Line1: 98 Green Barn Road  
 Address Line2:  
 City: HUDSON FALLS  
 State: NY  
 Zip - Plus4: 12839  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$45,000  
 Date Loan Awarded: 07/26/2007  
 Interest Rate(%): 5.19  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$32,789.85  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

39. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Willard Mountain, Inc.  
 Address Line1: 77 Intervale Road  
 Address Line2:  
 City: GREENWICH  
 State: NY  
 Zip - Plus4: 12834  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$75,000  
 Date Loan Awarded: 11/05/2004  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 6  
 Amount of Loan Principal Repaid  
 to Date: \$75,000.00  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? Yes

40. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Woodward Equipment Company, LLC  
 Address Line1: 1461 Baldwin Corners Rd.  
 Address Line2:  
 City: FORT ANN  
 State: NY  
 Zip - Plus4: 12827  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$45,000  
 Date Loan Awarded: 07/03/2014  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$2,954.58  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: